

FACTS**WHAT DOES JIM SAULNIER & ASSOCIATES, LLC DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Driver's license number
- Date of birth
- Account numbers
- Financial status
- Tax status

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Jim Saulnier & Associates, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Jim Saulnier & Associates, LLC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	N/A
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	N/A
For our affiliates' everyday business purposes— information about your creditworthiness	No	N/A
For nonaffiliates to market to you	No	N/A

Questions?

Call (970) 530-0556

Who we are

Who is providing this notice?

Jim Saulnier & Associates, LLC

What we do

How does Jim Saulnier & Associates, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Jim Saulnier & Associates, LLC collect my personal information?

We collect your personal information, for example:

- When you begin a retirement plan
- When we meet to update your investor profile
- In order to provide the services described in our Form ADV.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

This does not apply, because we do not share your information for any purposes that limitations could apply (see item immediately above).

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

Jim Saulnier & Associates, LLC is affiliated with Jim Saulnier, LLC Jim Saulnier Tax Planning, LLC and 430 Whedbee, LLC.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

Jim Saulnier & Associates, LLC does not share with nonaffiliates

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Jim Saulnier & Associates, LLC has no such agreements